

In this section we provide alternate proofs for a few of the results presented in Spectral Graph Theory by Fan R. K. Chung. In particular we will prove the right half of Cheeger's Inequality $2h_G \geq \lambda_1 \geq h_G^2/2$, and then prove lower bounds for h_G and λ_1 in the case when G is edge-transitive, by using the probabilistic method.

In what follows $G = (V, E)$ is a connected finite simple graph. For reference we state the definition of the Cheeger constant.

$$h_G := \min_{S \subset V} \frac{E(S, \bar{S})}{\min(\text{Vol } S, \text{Vol } \bar{S})}$$

where $E(X, Y)$ is the number of edges $\{x, y\} \in E$ with $x \in X$ and $y \in Y$, and $\text{Vol } X = \sum_{x \in X} d_x$. By this definition, we know that we have to cut at least $h_G k$ edges to remove a subset of volume k from G (provided $k \leq \frac{1}{2} \text{Vol } G$). Thus h_G is a measure of G 's overall resistance to being disconnected.

Theorem 1.

$$2h_G \geq \lambda_1 \geq h_G^2/2.$$

Proof. Here we provide an alternate proof only for the second half. (The first half is easier anyway). Let f be an eigenfunction for λ_1 , so that

$$\lambda_1 = \frac{\sum_{u \sim v} (f(u) - f(v))^2}{\sum_u f^2(u) d_u}.$$

($\sum_{u \sim v}$ denotes a sum over *unordered* pairs $\{u, v\} \in E$). Next define $f_+ = \max(0, f)$ and $f_- = -\min(0, f)$. Then

$$\lambda_1 \geq \frac{\sum_{u \sim v} (f_+(u) - f_+(v))^2 + \sum_{u \sim v} (f_-(u) - f_-(v))^2}{\sum_u f_+^2(u) d_u + \sum_v f_-^2(v) d_v}.$$

Using the fact (for positive numbers) that $\frac{a+b}{c+d} \geq \min(\frac{a}{c}, \frac{b}{d})$, this gives

$$\lambda_1 \geq \frac{\sum_{u \sim v} (f_+(u) - f_+(v))^2}{\sum_u f_+^2(u) d_u} \left(\frac{\sum_{u \sim v} (f_+(u) + f_+(v))^2}{\sum_{u \sim v} (f_+(u) + f_+(v))^2} \right)$$

(If not, replace f by $-f$). In the numerator use the Cauchy-Schwartz inequality, and in the denominator use $(a+b)^2 \leq 2(a^2 + b^2)$. We get

$$\lambda_1 \geq \frac{(\sum_{u \sim v} |f_+^2(u) - f_+^2(v)|)^2}{2(\sum_u f_+^2(u) d_u)^2}. \quad (1)$$

Next we'll work on the numerator of this expression. Order the vertices such that $f(v_1) \geq f(v_2) \geq \dots \geq f(v_n)$, and set $S_i = \{v_1, v_2, \dots, v_i\}$. Every edge runs between S_i and \bar{S}_i for some unique i , so

$$\begin{aligned}
\sum_{u \sim v} |f_+^2(u) - f_+^2(v)| &= \sum_{i=1}^{n-1} (f_+^2(v_i) - f_+^2(v_{i+1})) E(S_i, \bar{S}_i) \\
&\geq \sum_{i=1}^{n-1} (f_+^2(v_i) - f_+^2(v_{i+1})) h_G \text{Vol } S_i \\
&= h_G \sum_u (f_+^2(u) d_u).
\end{aligned}$$

Substituting this into the numerator of (1) gives $\lambda_1 \geq h_G^2/2$ as desired. \square

Next we consider graphs with a high degree of symmetry. The automorphism group $\text{Aut } G$ acts on vertices, edges, paths, etc. of G ; we say G is *vertex-transitive* or *edge-transitive* if $\text{Aut } G$ acts transitively on the corresponding set.

Theorem 2. *If G is edge-transitive with diameter D , then*

$$h_G \geq \frac{1}{2D} \quad (2)$$

$$\lambda_1 \geq \frac{1}{D^2} \quad (3)$$

Proof. Let P be a random path chosen in the following way. Choose a random vertex u with probability proportional to its degree; i.e. $\Pr(u = x) = \frac{d_x}{\text{Vol } G}$, and choose v independent of u with the same distribution. Now choose P uniformly at random among all shortest paths from u to v .

The length of P is the sum of indicators $\sum_e 1(e \in P)$. Taking the expectation gives

$$D \geq \sum_e \Pr(e \in P).$$

By edge transitivity the summands all equal a constant value. Therefore for any *fixed* e ,

$$\Pr(e \in P) \leq \frac{D}{|E|} = \frac{2D}{\text{Vol } G}. \quad (4)$$

Now to prove (2) we let $S \subset V$ be arbitrary, although for convenience we assume $\text{Vol } S \leq \frac{1}{2} \text{Vol } G$. In the event that $u \in S$ and $v \in \bar{S}$ (or vice versa), P must contain an edge between S and \bar{S} . Therefore (4) gives

$$2 \frac{(\text{Vol } S)(\text{Vol } \bar{S})}{(\text{Vol } G)^2} = \Pr(u \in S, v \in \bar{S} \text{ or } u \in \bar{S}, v \in S) \leq E(S, \bar{S}) \frac{2D}{\text{Vol } G},$$

and so

$$\frac{E(S, \bar{S})}{\text{Vol } S} \geq \frac{\text{Vol } \bar{S}}{D \text{Vol } G} \geq \frac{1}{2D}.$$

We conclude $h_G \geq \frac{1}{2D}$, which is (1).

For (3) we use the formula

$$\lambda_1 = (\text{Vol } G) \frac{\sum_{x \sim y} (f(x) - f(y))^2}{\sum_{\{u,v\}} (f(u) - f(v))^2 d_u d_v},$$

where f is the corresponding eigenfunction, and $\sum_{\{u,v\}}$ denotes a sum over unordered pairs of vertices. Rewrite this as

$$\lambda_1 = \frac{2}{\text{Vol } G} \frac{\sum_{x \sim y} (f(x) - f(y))^2}{\sum_{(u,v)} (f(u) - f(v))^2 \frac{d_u d_v}{(\text{Vol } G)^2}},$$

and observe that the sum in the denominator equals $\mathbb{E}[(f(u) - f(v))^2]$, where u, v are the random vertices as above. Thinking of $f(u) - f(v)$ as a telescoping sum over the edges of P , we use the identity $(\sum_{i=1}^k a_i)^2 \leq k \sum_{i=1}^k a_i^2$ to obtain

$$(f(u) - f(v))^2 \leq D \sum_{x \sim y} (f(x) - f(y))^2 \mathbf{1}(\{x, y\} \in P).$$

Take the expectation of both sides gives and use (4):

$$\mathbb{E}[(f(u) - f(v))^2] \leq \frac{2D^2}{\text{Vol } G} \sum_{x \sim y} (f(x) - f(y))^2.$$

This conveniently cancels the numerator of λ_1 , and we are left with $\lambda_1 \geq \frac{1}{D^2}$ as desired. \square